

RECEIVED

OCT 24 1983

OFFICE OF THE CLERK
SUPREME COURT, U.S.

NO. 83-5449

IN THE SUPREME COURT OF THE UNITED STATES

October Term, 1983

OCT 24 1983

ALEXANDER L. STEVENS
CLERK

WILLIE LEE RICHMOND,

Petitioner,

-vs-

STATE OF ARIZONA,

Respondent,

ON WRIT OF CERTIORARI TO THE ARIZONA SUPREME COURT

RESPONSE TO PETITION FOR
WRIT OF CERTIORARI

ROBERT K. CORBIN
Attorney General of
the State of Arizona

WILLIAM J. SCHAFER III
Chief Counsel
Criminal Division

JACK ROBERTS
Assistant Attorney General
Department of Law
1275 W. Washington, 2nd Floor
Phoenix, Arizona 85007
Telephone: (602)255-4686

Attorneys for RESPONDENT

QUESTIONS PRESENTED FOR REVIEW

1 1. When the death penalty may be upheld upon the basis of
2 two other aggravating circumstances, does petitioner raise a
3 federal question by showing dissent among the Arizona Supreme
4 Court about a third one?

5 2. Does any decision of this Court require that a state
6 supreme court, or a sentencing judge, believe a defendant's
7 proffered mitigation and give it sufficient weight to reduce
8 the penalty to life?

9 3. Does the Constitution require unanimous appellate
10 affirmance of the death penalty?

TABLE OF CONTENTS

	<u>Page</u>
QUESTIONS PRESENTED FOR REVIEW	1
TABLE OF CASES AND AUTHORITIES	111
STATEMENT OF THE CASE	1
REASONS FOR DENYING THE WRIT	
A. Uniqueness of Petitioner's Case.	2
B. Disagreement about whether a particular set of facts satisfies a circumstance is not tantamount to proof that the circumstance is unconstitutionally vague.	8
C. The trial court considered the proffered mitigation and did not find it persuasive.	11
D. Affirmance of the death penalty by a less-than-unanimous appellate court does not violate the Sixth and Fourteenth Amendments.	14
CONCLUSION	16

TABLE OF CASES AND AUTHORITIES

	<u>Case</u>	<u>Page</u>
1		
2	Adams v. Wainwright	
3	709 F.2d 1443	11
4	(11th Cir. 1983)	
5	Barclay v. Florida	
6	U.S.	
7	103 S.Ct. 3418	10,11,16
8	77 L.Ed.2d 1134 (1983)	
9	Eddings v. Oklahoma	
10	455 U.S. 104	
11	102 S.Ct. 869	12
12	71 L.Ed.2d 1 (1982)	
13	Godfrey v. Georgia	
14	446 U.S. 420	
15	100 S.Ct. 1759	9
16	64 L.Ed.2d 398 (1980)	
17	Johnson v. Louisiana	
18	406 U.S. 356	
19	92 S.Ct. 1620	14
20	32 L.Ed.2d 152 (1972)	
21	Maggio v. Fulford	
22	U.S.	
23	103 S.Ct. 2261	13
24	76 L.Ed.2d 794 (1983)	
25	Marshall v. Lonberger	
26	U.S.	
27	103 S.Ct. 843	13
28	74 L.Ed.2d 646 (1983)	
29	Proffitt v. Florida	
30	428 U.S. 242	
31	96 S.Ct. 2960	14
32	49 L.Ed.2d 913 (1976)	
33	State v. Adamson	
34	Ariz.	
35	665 F.2d 972 (1983)	6
36	State v. Bishop	
37	127 Ariz. 531	
38	622 P.2d 478 (1980)	6
39	State v. Britson	
40	130 Ariz. 380	
41	636 P.2d 628 (1981)	5
42	State v. Brookover	
43	124 Ariz. 38	
44	601 P.2d 1322 (1979)	7
45	State v. Carriger	
46	132 Ariz. 301	
47	645 P.2d 816 (1982)	6
48		
49		
50		
51		
52		

1	State v. Ceja 126 Ariz. 35 612 P.2d 491 (1980)	9
2	State v. Clark 126 Ariz. 428 616 P.2d 888 (1980)	6
3		
4	State v. Evans 120 Ariz. 158 584 P.2d 1149 (1978)	5
5		
6	State v. Gerlaugh 134 Ariz. 164 654 P.2d 800 (1983)	6,9
7		
8	State v. Greenawalt 128 Ariz. 150 624 P.2d 828 (1981)	6
9		
10	State v. Gretzler 135 Ariz. 42 659 P.2d 1 (1983)	9
11		
12	State v. Harding No. 5587 (Ariz.Sup.Ct., Sept. 6, 1983)	6
13		
14	State v. Hensley No. 5556 (Ariz.Sup.Ct., June 30, 1983)	5
15		
16	State v. Jeffers Ariz. 661 P.2d 1105 (1983)	6
17		
18	State v. Jordan 126 Ariz. 283 614 P.2d 825 (1980)	6
19		
20	State v. Knapp 127 Ariz. 65 618 P.2d 235 (1980)	6
21		
22	State v. Lambright No. 5594 (Ariz.Sup.Ct., Sept. 28, 1983)	6
23		
24	State v. Lujan 124 Ariz. 365 604 P.2d 629 (1979)	7
25		
26	State v. McMurtrey Ariz. 664 P.2d 637 (1983)	5
27		
28	State v. Madsen 25 Ariz. 346 609 P.2d 1046 (1980)	7
29		
30	State v. Mata 125 Ariz. 233 609 P.2d 48 (1980)	6
31		
32		

1	State v. Ortiz 131 Ariz. 195 639 P.2d 1020 (1981)	6,9
2	State v. Richmond Ariz. 666 P.2d 57 (1983)	1,12
3		
4	State v. Richmond 112 Ariz. 228 540 P.2d 700 (1975)	1
5		
6	State v. Smith (Robert) No. 5595 (Ariz.Sup.Ct., Sept. 28, 1983)	6
7		
8	State v. Smith (Roger) Ariz. 664 P.2d 637 (1983)	5
9		
10	State v. Smith (Sylvester) 125 Ariz. 412 610 P.2d 46 (1980)	5
11		
12	State v. Watson 129 Ariz. 60 628 P.2d 943 (1981)	7,13
13		
14	State v. Zaragoza 135 Ariz. 63 659 P.2d 22 (1983)	7
15		
16	Stephens v. State 227 S.E.2d 261 (Ga. 1976)	16
17		
18	United States v. Oregon Medical Society 343 U.S. 326 72 S.Ct. 690 96 L.Ed.2d 978 (1952)	13
19		
20	Zant v. Stephens U.S. 103 S.Ct. 2733 77 L.Ed.2d 235 (1983)	11
21		
22		
23		

AUTHORITIES

24	Ala.Code	
25	§ 13A-5-46(e)	15
	§ 13A-5-47(e)	15
26	Ariz.Rev.Stat.Ann.	
27	§ 13-703(B)	15
	§ 13-703(E)	3
28	§ 13-703(F)	5
	§ 13-703(F) (1) and (2)	1
29	§ 13-703(F) (1) - (7)	3
	§ 13-703(F) (6)	2,3,4,5,6,7,8,9,10
30		
31		
32		

1	Fla.Stat.Ann. § 921.141(2) (West 1972)	15
2	Idaho Code § 19-2515	15
3	Montana Code Ann. § 46-18-301	15
4		
5	Neb.Rev.Stat. § 29-2520	15
6	United States Constitution Fourteenth Amendment	15
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30		
31		
32		

STATEMENT OF THE CASE

1 Petitioner's statement of the case is basically correct.
2 The two aggravating circumstances upon which the Arizona
3 Supreme Court unanimously agreed were Ariz.Rev.Stat. Ann.
4 § 13-703(F) (1) and (2). The facts sustaining those
5 circumstances -- which petitioner never challenges and chooses
6 to ignore -- are the first-degree murder of Mary Dawson in July
7 1973, prior to the murder for which petitioner received the
8 death penalty, and the armed kidnapping of Raul Granados in
9 1969. State v. Richmond, 112 Ariz. 228, 540 P.2d 700 (1975).
10 Petitioner also fails to point out that the record in the
11 instant case establishes that he, then 25, was living with
12 Faith Erwin, 15, regularly "fixing" with her, and, at least
13 part of the time, receiving the earnings of her prostitution.
14 Indeed, the murder of Bernard Crummett, the case before this
15 Court, arose out of a scheme concocted by Richmond and Becky
16 Corrella whereby, at Richmond's direction, she agreed to
17 prostitute herself to Crummett.

7
18 Petitioner previously sought certiorari in this case, and
19 this Court denied it. 433 U.S. 915 (1977).

20 In his attempt to have this Court grant certiorari,
21 Richmond constantly and conveniently ignores a very salient
22 fact: all five justices of the Arizona Supreme Court agreed
23 that the state had proven two aggravating factors, the former
24 murder of Mary Dawson and the armed kidnapping of Raul
25 Granados. To support his arguments, he must of necessity focus
26 upon the one circumstance about which, for factual reasons, the
27 Arizona Supreme Court disagreed, glossing over the fact that
28 two of the three justices who disagreed about the existence of
29 the especially heinous factor did concur that his prior record
30 set him above the norm of murderers and warranted death. State
31 v. Richmond, ___ Ariz. ___, 666 P.2d at 67-69. (Concurrence of
32

Justice Cameron and Vice Chief Justice Gordon.) That left in dissent only Justice Feldman, who also agreed that the state had proven two aggravating factors.

REASONS FOR DENYING THE WRIT

A. Uniqueness of Petitioner's Case.

Petitioner's emphasis upon the uniqueness of his case, with respect to the applicability of one aggravating circumstance out of three, is one of the strongest arguments for denying the writ. If he could show that the Arizona Supreme Court is constantly divided about the definition (and he never challenges the definition of that particular circumstance) or the application of the definition to the facts, he would have a more persuasive case because that might indicate vacillation and uneven application of Ariz.Rev.Stat.Ann. § 13-703(F)(6). But he concedes the uniformity of definition, and, except for his case, the uniformity of application to the facts of all cases preceding and following his. Thus, his argument is that this Court should grant certiorari because, for the first time, the Arizona Supreme Court has split upon the resolution of whether the facts (and inferences therefrom) sustain the conclusion that he committed the murder in an especially heinous manner. That is an extremely weak basis for invoking alleged infringement of federally protected rights. Respondent will demonstrate, infra, that recent decisions of this Court clearly indicate this Court does not intend to involve itself in this kind of state evidentiary question in the absence of extraordinary circumstances, which are not present in this case.

Respondent must express a caveat to the Court as it reads pages 5-14 of the petition. The caveat is necessary because counsel for petitioner distorts the statutory and case law of Arizona to induce this Court to believe that Arizona courts pay little attention to any aggravating circumstance except

1 that one concerned with whether the crime was especially cruel,
2 heinous, or depraved. Counsel apparently felt compelled to
3 take this approach in order to divert attention from the two
4 aggravating circumstances upon which the Arizona Supreme Court
5 unanimously agreed.

6 Respondent will trace the development of this semantic
7 strategy. At page 5 of the petition, petitioner fleetingly
8 acknowledges that the Arizona statute accords no priority to
9 the seven aggravating factors that make one eligible for the
10 death penalty. Ariz.Rev.Stat.Ann. § 13-703(F)(1)-(7). Any one
11 of those factors requires imposition of death unless the
12 defendant can produce mitigation substantial enough to merit
13 leniency. Ariz.Rev.Stat.Ann. § 13-703(E). The trial court has
14 no authority to accord any particular aggravating circumstance
15 more or less weight than another because any of the seven
16 mandates death in the absence of substantial mitigation.
17 Having accurately stated that the statute itself permits no
18 priority among aggravating factors, counsel then begins to
19 weave a subtle thread upon the loom of distortion. That thread
20 conveys both implicitly and explicitly the following message:
21 the one decisive factor that separates death penalty cases from
22 "normal" first-degree murder is the circumstance of especially
23 cruel, heinous, or depraved. That is to say, by inference,
24 that Arizona courts almost never impose death unless that one
25 circumstance is established. That is false both legally and
26 factually. Clear evidence of this misleading syllogism is at
27 pages 5, 7, 13-14 of the petition. When petitioner says the
28 Arizona Supreme Court has often indicated that 13-703(F)(6) is
29 "the one which separates a death penalty case from a 'normal'
30 first-degree murder," he distorts by omission. Petition at 5.
31 The Arizona Supreme Court has said that circumstances that
32 separate a particular murder from other murders may be proved

by establishing the existence of Ariz.Rev.Stat.Ann.

1 § 13-703(F)(6). Petitioner skillfully converts this into what
2 separates a death penalty case from other murders, i.e., if the
3 evidence satisfies that one circumstance, the defendant will
4 receive death regardless of any other aggravation or
5 mitigation. Petitioner continues this theme at page 7 by
6 saying that his case presents a "critical disagreement . . .
7 about the existence of the one aggravating factor which the
8 Court has said separates 'normal' first-degree murder cases
9 from death penalty cases." (Emphasis supplied.) Petitioner
10 cites no case because there is no case that holds that one
11 factor is the sole factor that determines that a defendant will
12 receive the death penalty despite other aggravation or
13 mitigation. At page 13 petitioner reiterates this same
14 reasoning by saying "this particular circumstance carries
15 special weight in Arizona's death penalty sentencing scheme,
16 since it is the factor which separates death penalty cases from
17 that of a 'normal' first-degree murder." (Emphasis supplied.)
18 Again, petitioner employs the definite article, semantically
19 undergirding the false syllogism he wishes this Court to accept
20 uncritically. What Arizona case says that Ariz.Rev.Stat.Ann.
21 § 13-703(F)(6) carries special weight? None. To so hold would
22 be to say that that individual circumstance makes defendants
23 more eligible for death than others, a distinction the statute
24 does not allow. Less there be any doubt that counsel for
25 petitioner attempts to convince this Court that, statutory
26 language and case law to the contrary, the true and exclusive
27 factor that Arizona courts rely upon to impose death is
28 especially cruel, heinous or depraved, respondent invites
29 consideration of the following except from page 14 of the
30 petition:
31
32

1 Petitioner submits that even if the
2 other aggravating factors in this case
3 were properly demonstrated, resentencing
4 is necessary because the one factor
5 which elevates a "normal" first degree
6 murder from [sic] an "abnormal" (and
7 thus death-qualifying) murder was not
8 constitutionally found.

9 (Emphasis supplied.) Undeniably, petitioner is saying that
10 only the establishment of Ariz.Rev.Stat.Ann. § 13-703(F) (6)
11 will result in imposition of death (the one factor that is
12 "death qualifying") regardless of additional aggravating
13 factors, in this case, two. That is neither factually nor
14 legally correct. Any of the seven factors listed in
15 Ariz.Rev.Stat.Ann. § 13-703(F) makes a defendant eligible
16 for death, indeed, mandates it, unless the defendant
17 produces sufficient mitigation.

18 Petitioner lists four cases in which the death penalty
19 was sustained on factors other than especially cruel,
20 heinous, or depraved. Petition, page 6, n.1. He omitted
21 the following cases: State v. Britson, 130 Ariz. 380, 636
22 P.2d 628 (1981); State v. Smith (Sylvester), 125 Ariz. 412,
23 610 P.2d 46 (1980); State v. Evans, 120 Ariz. 158, 584 P.2d
24 1149 (1978), affirmed after remand, 124 Ariz. 526, 606 P.2d
25 16 (1980). That makes seven cases sustained on appeal that
26 do not contain the factor of especially cruel, heinous or
27 depraved. In addition, the Arizona Supreme Court has
28 sustained convictions in three other cases in which the
29 trial court imposed death on the basis of a single
30 circumstance -- not Ariz.Rev.Stat.Ann. § 13-703(F) (6) --
31 but has remanded those for resentencing for other reasons.
32 State v. Hensley, No. 5556 (Ariz.Sup.Ct., June 30, 1983);
33 State v. Smith (Roger), ___ Ariz. ___, 665 Ariz. 995
34 (1983); State v. McMurtrey, ___ Ariz. ___, 664 P.2d 637
35 (1983). Petitioner does not inform this Court that, of

1 those cases involving Ariz.Rev.Stat.Ann. § 13-703(F)(6),
2 all but five involved additional aggravation, usually prior
3 convictions for violent crimes (as in petitioner's case)
4 and the motive of pecuniary gain. See, e.g., State v.
5 Harding, No. 5587 (Ariz.Sup.Ct., Sept. 6, 1983); State v.
6 Adamson, ___ Ariz. ___, 665 P.2d 972 (1983); State v.
7 Gerlaugh, 134 Ariz. 164, 654 P.2d 800, supp. opinion, 135
8 Ariz. 89, 659 P.2d 642 (1983); State v. Carriger, 132 Ariz.
9 301, 645 P.2d 816 (1982); State v. Ortiz, 131 Ariz. 195,
10 639 P.2d 1020 (1981), cert. denied, 102 S.Ct. 2259 (1982);
11 State v. Greenawalt, 128 Ariz. 150, 624 P.2d 828 (1981);
12 State v. Clark, 126 Ariz. 428, 616 P.2d 888, cert. denied,
13 449 U.S. 1067 (1980); State v. Jordan, 126 Ariz. 283, 614
14 P.2d 825 (1980); State v. Mata, 125 Ariz. 233, 609 P.2d 48
15 (1980). Of the more than fifty people on death row, only
16 five have had their sentences affirmed upon the sole basis
17 of Ariz.Rev.Stat.Ann. § 13-703(F)(6). State v. Lambright,
18 No. 5594 (Ariz.Sup.Ct., Sept. 28, 1983); State v. Smith
19 (Robert), No. 5595 (Ariz.Sup.Ct., Sept. 28, 1983); State v.
20 Jeffers, ___ Ariz. ___, 661 P.2d 1105 (1983); State v.
21 Bishop, 127 Ariz. 531, 622 P.2d 478 (1980); State v. Knapp,
22 127 Ariz. 65, 618 P.2d 235 (1980). So much for the
23 argument that the Arizona Supreme Court affirms the death
24 penalty in exclusive reliance upon that one circumstance.

25 Petitioner could have given this Court an accurate
26 description of the basic criteria utilized by the Arizona
27 Supreme Court on review by stating that that court will not
28 affirm a death sentence unless, either the circumstances of
29 the murder set it apart (the only criteria Richmond
30 mentions), or the record and character of the defendant set
31 him apart from other murderers. State v. Richmond, ___
32 Ariz. ___, 666 P.2d 57, 67-68 (1983) (Justice Cameron's

concurring opinion); State v. Zaragoza, 135 Ariz. 63, 68, 659 P.2d 22, 27-28 (1983); State v. Watson, 129 Ariz. 60, 63, 628 P.2d 943-46 (1981). It was Richmond's prior record for violence, another first-degree murder and armed kidnapping, that prompted Justice Cameron and Vice Chief Justice Gordon to concur with Holohan and Hays that death was appropriate. 666 P.2d at 67-68.

Finally, petitioner notes four cases in which the Arizona Supreme Court set aside the trial court's finding of Ariz.Rev.Stat.Ann. § 13-703(F)(6) and imposed life sentences. In two of those cases, the Arizona Supreme Court found no aggravating circumstances, thus, there was no statutory basis for sustaining death. State v. Madsen, 25 Ariz. 346, 609 P.2d 1046 (1980); State v. Lujan, 124 Ariz. 365, 604 P.2d 629 (1979). In State v. Watson, supra, the court sustained two of four factors. Both factors were based on a robbery Watson committed. In the last case, the Arizona Supreme Court upheld one aggravating circumstance, a conviction for possession of marijuana for sale, and determined that the defendant suffered from a neurological lesion that was a "major contributing cause of his conduct." State v. Brookover, 124 Ariz. 38, 601 P.2d 1322 (1979).

It is within the foregoing context, especially in view of the fact that the Arizona Supreme Court unanimously agreed about the existence of two additional aggravating factors in petitioner's case, that this Court should consider petitioner's arguments.

1 B. Disagreement about whether a particular set of
2 facts satisfies a circumstance is not tantamount
3 to proof that the circumstance is
4 unconstitutionally vague.

5 Although petitioner divides this argument into two
6 parts, he says the same thing in both. He claims that
7 because there was disagreement among the Arizona justices
8 about whether the facts of this case fell within the ambit
9 of Ariz.Rev.Stat.Ann. § 13-703(F)(6), that makes the
10 "application" of that circumstance unconstitutional. The
11 second point he asserts is that that circumstance is
12 vague. This he purports to demonstrate by showing the
13 "history" of its application in his case. Petition at 12.
14 This second proposition is simply the first one restated.
15 Both premises hinge upon whether disagreement about what
16 the facts show renders unconstitutional the statutory
17 definition of especially cruel, heinous, or depraved.

18 Petitioner has already defeated his argument by earlier
19 emphasizing the continuing unanimity of the Arizona Supreme
20 Court in defining and applying this circumstance. He has
21 told this Court more than once that his case is unique
22 because it is the only one where justices have not agreed
23 about applicability of this particular circumstance.
24 Petition at 5-7. The Arizona Supreme Court has considered
25 at least 29 cases involving this circumstance, and has
26 either unanimously upheld or rejected it. This indicates
27 vagueness? The Court will note that petitioner never
28 attacks the definitions developed by the Arizona Supreme
29 Court; he simplistically equates differing interpretations
30 of the facts with unconstitutional statutory vagueness.
31 That is a nonsequitur.

32 None of the five Arizona justices disagreed that the
murder involved ghastly mutilation of the victim. Evidence

1 showed that petitioner drove a car over Crummett's skull,
2 then, 30 seconds later, from another direction, drove over
3 his chest. The point of dissension between the two
4 justices who found the circumstance applicable and those
5 who did not was whether the facts supported the inference
6 that Richmond knew the first pass killed Crummett and made
7 the second run for the express purpose of mutilating the
8 corpse. Two justices believed that a supportable inference
9 from the facts (especially considering the 30-second
10 interval and the fact that the car ran over the victim from
11 different directions), and three did not. 666 P.2d at 64,
12 68. In previous cases involving mutilation, the record
13 left no doubt that the defendants either inflicted great
14 pain upon the victims before they died, or knew their
15 victims were dead and continued to inflict gratuitous
16 violence. See, e.g., State v. Gerlaugh, supra; State v.
17 Ceja, 126 Ariz. 35, 612 P.2d 491 (1980). Neither the
18 concurring opinions nor the dissenting opinion indicates
19 disagreement about the definition of Ariz.Rev.Stat.Ann.
20 § 13-703(F) (6), only a difference about Richmond's
21 knowledge and state of mind when he drove over Crummett the
22 second time. Upon the facts, either position is
23 sustainable.

23 The Arizona Supreme Court has taken great care in
24 defining and applying this particular circumstance,
25 especially mindful of this Court's holding in Godfrey v.
26 Georgia, 446 U.S. 420, 100 S.Ct. 1759, 64 L.Ed.2d 398
27 (1980). State v. Gretzler, 135 Ariz. 42, 659 P.2d 1, 9-12
28 (1983); State v. Ortiz, supra. Godfrey, supra, has no
29 bearing upon this case. There, the Georgia Supreme Court
30 had evolved a limited definition of a roughly similar
31 circumstance that restricted application of it to instances
32

DISAGREEMENT
OVER FACTS

1 of aggravated battery or torture upon live victims. This
2 Court reversed because the state conceded Godfrey's victims
3 died instantly from shotgun blasts to the head:

4 The circumstances of this case,
5 therefore, do not satisfy the criteria
6 laid out by the Georgia Supreme Court
7 itself in the Harris and Blake cases.

8 446 U.S. at 432, 100 S.Ct. at 1767. There was no room, as
9 in this case, to draw different inferences from undeniable
10 facts because of the restrictive definition Georgia had
11 placed upon that particular circumstance. A second
12 important consideration is that the Georgia Supreme Court
13 had affirmed the death penalty relying exclusively upon
14 that circumstance. Here, there are two additional
15 aggravating factors not disputed by any member of the
16 Arizona Supreme Court or petitioner. Justice Stewart noted
17 that Godfrey intimated no authority for cases that could be
18 sustained upon other aggravating factors. Id. at 432,
19 n.15, 100 S.Ct. at 1767, n.15.

20 Even if one could characterize, merely arguendo, the
21 conclusion of the two justices who found Ariz.Rev.Stat.Ann.
22 § 13-703(F)(6) applicable as an error of state law, "mere
23 errors of state law are not the concern of this Court,
24 . . . unless they rise for some other reason to the level
25 of a denial of rights protected by the United States
26 Constitution." Barclay v. Florida, ___ U.S. ___, 103 S.Ct.
27 3418, 3428, 77 L.Ed.2d 1134 (1983). When, as here, the
28 death penalty is easily sustainable upon the prior record
29 of the defendant as exemplified by two additional
30 circumstances, this Court should deny the writ. Neither
31 the trial court nor the Arizona Supreme Court considered
32 inadmissible evidence; surely the method of murder is a
proper area for inquiry. Since even total elimination of

1 this one circumstance would not change the result, the
2 Court should deny the writ. Barclay v. Florida, supra;
3 Zant v. Stephens, ___ U.S. ___, 103 S.Ct. 2733, 77 L.Ed.2d
4 235 (1983). Petitioner invites this Court to engage in a
5 case-by-case comparison to see with which group of Arizona
6 justices it might agree upon the facts of this case.
7 Rejecting a similar invitation, the Eleventh Circuit aptly
8 said:

8 In regard to the judge's
9 consideration of aggravating
10 circumstances, Adams faults the judge
11 for finding the murder "especially
12 heinous, atrocious, or cruel." In
13 upholding the trial judge's finding,
14 however, the Florida Supreme Court
15 properly noted that Adams had killed his
16 victim "by beating him past the point of
17 submission and until his body was
18 grossly mangled." Adams v. State, 341
19 So.2d at 769. Although Adams argues
20 there are Florida cases with similar
21 facts which were not held to be
22 "especially heinous, atrocious, or
23 cruel," it is not the role of the
24 federal courts to make a case-by-case
25 comparison of the facts in a given case
26 with other decisions of the state
27 supreme court. Ford v. Strickland, 696
28 F.2d at 819; Spinkellink v. Wainwright,
29 578 F.2d 582, 604-05, cert. denied, 440
30 U.S. 976, 99 S.Ct. 1548, 59 L.Ed.2d 796
31 (1979).

20 Adams v. Wainwright, 709 F.2d 1443, 1447 (11th Cir. 1983).
21 This Court should likewise decline, especially when
22 petitioner has conceded the uniform definition and
23 application of this circumstance and wishes this Court to
24 "referee" a disagreement both sides of which find support
25 in irrefutable facts.

26 C. The trial court considered the proffered
27 mitigation and did not find it persuasive.

28 This entire argument may be capsulized by stating that
29 petitioner complains that the trial court had to believe
30 his mitigation, and, more importantly (by implication, at
31 least), was obliged to conclude his proffered mitigation
32

1 outweighed the aggravation. No decision of this Court has
2 ever so held. This Court has said the sentencer must
3 consider, not that he must believe, and certainly not that
4 he must assign a particular weight to the defendant's
5 evidence. Eddings v. Oklahoma, 455 U.S. 104, 102 S.Ct.
6 869, 71 L.Ed.2d 1 (1982).

7 Petitioner again distorts the record by saying that the
8 question is whether the sentencing judge can impose death
9 when he "fails to consider, as a mitigating factor
10 uncontradicted evidence" and "the sentencing judge
11 could somehow disregard the evidence and fail to take it
12 into account in assessing the propriety of the death
13 penalty." Petition at 15 (emphasis added). In view of the
14 record, such assertions are nonsense. The trial court
15 listened to and considered extensive testimony from
16 petitioner and a host of others about his alleged change of
17 character. The trial court simply was not convinced of
18 that change, or, at the very least, that such a change was
19 sufficient to overcome the aggravation. The plurality
20 opinion, noting that the trial court observed all
21 witnesses, had no difficulty in sustaining the trial
22 court's refusal to find the evidence persuasive. State v.
23 Richmond, 666 P.2d at 65-66. But it is clear that the
24 trial court did consider the proffered mitigation.

25 Petitioner's argument amounts to nothing more than
26 asking this Court to reevaluate the record, believe his
27 mitigation, and conclude that it does outweigh the
28 aggravation. Indeed, petitioner flatly asks this Court to
29 reduce his sentence to life. Petition at 13. If this
30 Court is going to determine the credibility of witnesses
31 and evidence in state proceedings, perform an independent
32 weighing process and proportionality review for every state

1 death penalty case, perhaps it would be more appropriate
2 and expedient for Congress to enact preemptive legislation
3 stripping state tribunals of appellate jurisdiction in
4 these cases and channeling them all directly to this
5 Court. With regard to federal habeas corpus proceedings
6 brought by state prisoners, this Court has made it clear
7 that it is not the province of federal courts to reassess
8 the credibility of witnesses and testimony the state trial
9 court heard first hand. Maggio v. Fulford, ___ U.S. ___,
10 103 S.Ct. 2261, 76 L.Ed.2d 794 (1983); Marshall v.
11 Lonberger, ___ U.S. ___, 103 S.Ct. 843, 74 L.Ed.2d 646
12 (1983). See also United States v. Oregon Medical Society,
13 343 U.S. 326, 72 S.Ct. 690, 96 L.Ed.2d 978 (1952).

14 As he must, petitioner emphasizes Justice Feldman's
15 dissent and tries to analogize his case to that of the
16 defendant in State v. Watson, supra. The only observation
17 to make about Feldman's dissent is that he believed --
18 without seeing any witness testify -- the proffered
19 mitigation and thought it warranted a life sentence. The
20 four remaining justices did not. Dissent among an
21 appellate tribunal is hardly novel. The four justices who
22 concurred in the imposition of the death penalty were aware
23 that the defendant in State v. Watson, supra, had presented
24 similar testimony about changed character. They were also
25 aware that Watson had not been convicted of another
26 first-degree murder. 666 P.2d at 66, 68-69. That those
27 four justices, in weighing all factors, arrived at a
28 different assessment from that of Justice Feldman, presents
29 no federal question.
30
31
32

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

D. Affirmance of the death penalty by a less-than-unanimous appellate court does not violate the Sixth and Fourteenth Amendments.

Offering a novel argument, Richmond likens appellate review to those states that require a unanimous jury recommendation of death. If he had been sentenced by the Arizona Supreme Court, he muses, he could not have received the death penalty because one justice dissented. His argument overlooks two elements: (1) This Court has never said, even in death penalty cases, that a unanimous guilt or sentencing jury is constitutionally required. In upholding cases involving nonunanimous guilt verdicts, the Court has noted that state provisions for unanimous verdicts in capital cases serve a rational purpose, but it has never held that unanimous sentencing verdicts in capital cases are constitutionally mandated. Johnson v. Louisiana, 406 U.S. 356, 92 S.Ct. 1620, 32 L.Ed.2d 152 (1972); (2) If Richmond had been sentenced by a jury immediately after a finding of guilt, that jury would have made no proportionality study (as does the Arizona Supreme Court in every capital case), nor could he have presented testimony about his model conduct in the intervening 9 years because those would not have passed. Petitioner's argument is another transparent attack upon judge sentencing, already rejected by this Court. Proffitt v. Florida, 428 U.S. 242, 96 S.Ct. 2960, 49 L.Ed.2d 913 (1976). The state can readily see that all defendants would prefer jury sentencing because it increases their chances of persuading at least one person to dissent.

The question, however, is not jury sentencing, but appellate review. Richmond does not consider the reverse of his argument: if a unanimous jury has recommended death, should this Court draw from the penumbra of the

1 Sixth Amendment a new constitutional principle, to be
2 applicable to states through the Fourteenth Amendment, that
3 as a matter of federal constitutional law, a divided
4 appellate court automatically nullifies a unanimous jury
5 sentence of death? Concerned as he is with his own case,
6 petitioner has not considered the ramifications of the
7 Court's undertaking to do what he asks. No state has seen
8 fit to require that its supreme court unanimously affirm a
9 death sentence. Surely the legislatures of the 31 states
10 that have jury sentencing were aware that their highest
11 appellate courts would review the jury's sentence.¹
12 Could this be mere oversight on the part of so many state
13 legislatures? Petitioner maintains that Arizona's
14 constitution, which does require unanimous jury verdicts in
15 criminal cases, contains an inherent federal violation in
16 its application. Petition at 20. Obviously, he means --
17 and wishes this Court to say -- that the federal
18 constitution demands a unanimous appellate court to uphold
19 the death penalty, as well as a unanimous jury verdict.
20 Why not extend the analogy to federal habeas proceedings
21 initiated by a state prisoner under sentence of death? If
22 the federal appeals court, en banc, cannot unanimously
23 agree that the state permissibly imposed the death penalty,
24 that, too, automatically reduces the sentence to life. The

25
26 ¹In Arizona, Idaho, Montana, and Nebraska, the
27 trial court sentences the defendant in a capital case.
28 Florida and Alabama provide for non-binding advisory jury
29 opinions, but the trial court decides the sentence.
30 Ala.Code § 13A-5-46(e), 13A-5-47(e); Ariz.Rev.Stat. Ann.
31 § 13-703(B); Fla.Stat. Ann. § 921.141(2) (West 1972);
32 Idaho Code § 19-2515; Mont. Code Ann. § 46-18-301;
Neb.Rev.Stat. § 29-2520.

possibilities are no doubt attractive to all defendants in petitioner's position.

This Court has affirmed numerous death penalties, with two justices perpetually dissenting. This presents an intriguing potentiality petitioner does not mention. States have the right to death-qualify juries to eliminate panelists unalterably opposed to the death penalty. However, most state supreme court appointments are political. The prosecution could do nothing about a state supreme court justice unwilling to impose death in any situation. If such a justice continually dissented from affirmance of capital cases, should that reduce all such cases that come before that court during the tenure of that justice to life? A positive response would make the death-qualifying process at the trial level meaningless in those states that permit the jury to decide sentence, and the trial court's judgment meaningless in those six where the trial court determines sentence. Although the question was not before this Court in those two cases, respondent notes that Justice Gunter dissented in Stephens v. State, 227 S.E.2d 261, 264 (Ga. 1976), later affirmed by this Court in Zant v. Stephens, supra, and the advisory jury in Florida recommended by a 7-5 vote that Barclay receive life. 103 S.Ct. at 3421. Nevertheless, the Florida trial judge imposed death, the Florida Supreme Court affirmed, and this Court affirmed. Barclay v. Florida, supra.

CONCLUSION

Ignoring the fact that no Arizona justice disagreed that the state had shown two aggravating circumstances, petitioner falsely alleges that the Arizona Supreme Court gives "special weight" to the one circumstance about which that court disagreed. The uniqueness of that disagreement

1 -- which has occurred neither before nor since petitioner's
2 case -- emphasizes the uniformity of definition and
3 application of that circumstance by the Arizona Supreme
4 Court. Petitioner is clamoring that one instance of
5 discord about the state of mind the facts establish, implies
6 unconstitutional vagueness.

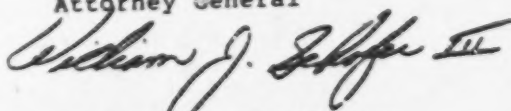
7 It would be inappropriate for this Court to undertake a
8 de novo review of the record to independently assess
9 witnesses' credibility and to substitute its judgment for
10 that of the trial court and the Arizona Supreme Court in
11 balancing aggravation against mitigation. Indeed, two
12 members of this Court would not affirm the judgment
13 regardless of aggravation. Petitioner demonstrates no
14 constitutional violation -- he merely wants everyone to
15 agree with Justice Feldman.

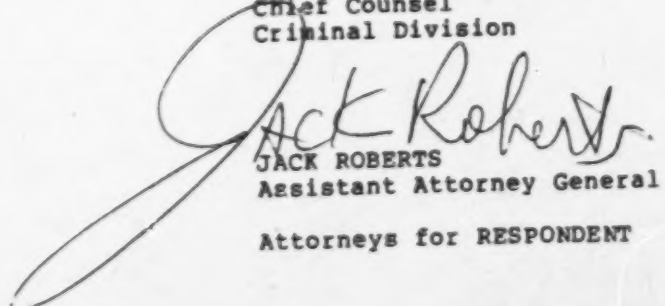
16 Common sense militates against the proposition that
17 appellate courts must unanimously affirm sentences of death.

18 Respondent contends that petitioner has not raised a
19 federal question, nor shown violation of a federally
20 protected right. The Court should deny the writ.

21 Respectfully submitted,

22 ROBERT K. CORBIN
23 Attorney General

24 
25 WILLIAM J. SCHAFER III
26 Chief Counsel
27 Criminal Division

28 
29 JACK ROBERTS
30 Assistant Attorney General

31 Attorneys for RESPONDENT
32

AFFIDAVIT

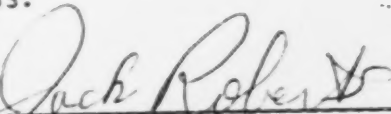
1 STATE OF ARIZONA)
2) ss.
3 COUNTY OF MARICOPA)

4 JACK ROBERTS, being first duly sworn upon oath,
5 deposes and says:

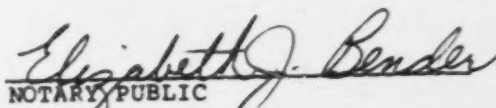
6 That he served the attorney for the appellant in the
7 foregoing case by forwarding two (2) copies of RESPONSE TO
8 PETITION FOR WRIT OF CERTIORARI, in a sealed envelope,
9 first class postage prepaid, and deposited same in the
10 United States mail, addressed to:

11 LAWRENCE H. FLEISCHMAN
12 Deputy Public Defender
13 45 W. Pennington, 3rd Floor
14 Tucson, Arizona 85701
15 Attorney for PETITIONER

16 this 20th day of October, 1983.

17 
18 JACK ROBERTS

19 SUBSCRIBED AND SWORN to before me this 20th day of
20 October, 1983.

21 
22 NOTARY PUBLIC

23 My Commission Expires:

24 July 17, 1986

25 CR7-254
26 2851D:bb